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## Using the Mount Pinatubo volcanic eruption to determine climate sensitivity: Comments on "Climate forcing by the volcanic eruption of Mount Pinatubo" by David H. Douglass and Robert S. Knox

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- [1] Douglass and Knox [2005], hereafter referred to as DK, present an analysis of the observed cooling following the 1991 Mt. Pinatubo eruption and claim that these data imply a very low value for the climate sensitivity (equivalent to  $0.6^{\circ}$ C equilibrium warming for a CO<sub>2</sub> doubling). We show here that their analysis is flawed and their results are incorrect.
- [2] We begin with a very simple analysis. If 'S' is the climate sensitivity ( ${}^{\circ}\text{C/Wm}^{-2}$ ; DK use  $\lambda$  for the sensitivity, but  $\lambda$  is more commonly used as the symbol for the feedback parameter,  $\lambda = 1/\text{S}$ ), the maximum forcing is  $\Delta Q$ , and the maximum temperature reduction is  $\Delta T$ , then the maximum equilibrium cooling is  $\Delta T_{eq} = S\Delta Q$ . Because of oceanic thermal inertia, the actual cooling in response to short-term volcanic forcing will be substantially less, by a factor  $\alpha$  where  $\alpha = \Delta T/\Delta T_{eq}$ . What do DK's results imply for  $\alpha$ ? DK have  $S = 0.15^{\circ}\text{C/Wm}^{-2}$ ,  $\Delta Q \approx -3 \text{ Wm}^{-2}$  (this is obtained by multiplying the peak visible optical depth change of 0.16, see their Fig. 2, by a scaling factor of 18.5, their central estimate), and  $\Delta T$  (for which the smoothed value is  $\approx -0.5^{\circ}\text{C}$ ). This implies that  $\alpha = \Delta T/(S\Delta Q) \approx 1.1$ . (A larger cooling estimate, such as the unsmoothed value of  $-0.7^{\circ}\text{C}$ , would give an even larger value for  $\alpha$ .) DK's results therefore imply that the actual cooling from the Pinatubo eruption was more than the equilibrium cooling. This is an improbable result, and it is difficult to think of a physical mechanism through which it might occur. Conventional values for  $\alpha$  are around 0.3, so the discrepancy here is very large.
- [3] We can test the validity of the *DK* approach using a model case where we know the climate sensitivity, and see whether their approach can recover the known value. The case we consider is a coupled atmosphere-ocean general circulation model simulation of the effects of volcanic eruptions on climate [*Ammann et al.*, 2003]. The model used is the NCAR/DOE Parallel

Climate Model (PCM). This is the same model that was used by DK to obtain the post-Pinatubo optical depth series (their Fig. 2). The Pinatubo response signal in PCM is unusually well-characterized, because multiple model realizations allow the noise of internally-generated variability to be reduced significantly (see *Wigley et al.*, 2005). We also know from earlier work [*Raper et al.*, 2001] that the climate sensitivity for this model is  $0.46^{\circ}$ C/Wm<sup>-2</sup>, smaller than most other models but still substantially greater than the DK result of  $0.15^{\circ}$ C/Wm<sup>-2</sup>.

[4] It is a simple matter to fit DK's analytical solution for the Pinatubo response (their equ. 6) to the PCM results for Pinatubo. Their analytical solution contains two free parameters, the climate sensitivity (S) and a response time  $(\tau)$ . By minimizing the root-mean-square difference between the PCM 'observed' and DK 'model' values we obtain  $S = 0.166^{\circ}C/Wm^{-2}$  and  $\tau = 8.3$  months for PCM. This best-fit result is shown in Fig. 1. (Note that PCM's peak cooling is slightly less than the observed peak cooling.) It is clear that, while the DK method works for curve-fitting, it is unable to recover the known value of S for PCM, underestimating the true sensitivity by a factor of three. Given this failure, the method is unlikely to be able to estimate a reliable sensitivity value from real-world observational data.

[5] The reason for this failure lies in the one-box model that is used by DK. Such a model cannot, in general, adequately capture the thermal inertia effects of the ocean – to do so requires modeling the ocean more realistically. At the very least, the heat flux out of the mixed-layer into the deep ocean must be accounted for. This is a non-trivial term. To see this we write the one-box model equation in a more general form (see, e.g., *Raper et al.*, 2001):

$$C d\Delta T/dt + \Delta T/S = \Delta Q - \Delta F$$

where C is the heat capacity (so  $\tau = CS$ ) and  $\Delta F$  is the flux of heat at the base of the mixed layer. At the time of minimum temperature, the first term is zero and we have

$$S = \Delta T/(\Delta Q - \Delta F).$$

If  $\Delta F$  is ignored,  $S = \Delta T/\Delta Q$ . Using the smoothed value for maximum cooling (0.5°C) and the forcing at the time of maximum cooling from DK's Fig. 2 (0.14 x 18.5 = 2.6Wm<sup>-2</sup>) gives S = -0.5/-2.6 = 0.19°C/Wm<sup>-2</sup>, quite similar to the DK result.

[6] Now let us use the more correct expression that accounts for the heat flux at the base of the mixed layer. The observed value of  $\Delta F$  at the time of maximum cooling is not known, but an approximate estimate of around  $-2 \text{Wm}^{-2}$  can be obtained from the data of Levitus [2000]. It should be noted that the flux at this time is, as simple physics demands, from the deeper ocean into the mixed layer. The above flux value is consistent with values obtained from AOGCM simulations. The implied value for S then becomes approximately  $0.5/(2.6-2) = 0.83^{\circ}\text{C/Wm}^{-2}$ , in accord with conventional estimates such as *Soden et al.* [2002] and *Robock* [2003], and with the results of *Wigley et al.* [2005]. The above sensitivity estimate is subject to considerable uncertainty through uncertainties in all three terms,  $\Delta T$ ,  $\Delta Q$  and  $\Delta F$ . Nevertheless, the neglect of  $\Delta F$  makes a radical difference and must lead, as it does in DK's analysis and in our parallel analysis of the PCM results, to a considerable underestimate of the climate sensitivity.

[7] This is not the only flaw in the DK analysis. For example, as explained by Robock [2005], DK appear not to understand the concept of radiative forcing. They also confuse the time

scale in their one-box model with the volcano response time (i.e., the time scale for relaxation from peak cooling back to steady state), claiming that the latter is very short, about 6.8 months. This is wrong. The box-model time scale ( $\tau$ ) and the volcano response time ( $\tau_V$ ) are two different things. We can illustrate this using the PCM results from Fig. 1. In this case, the value of  $\tau$  that is used is 8.3 months. Fitting an exponential decay to the 'tail' of the temperature response curve (e.g., for times greater than 20 months) gives an e-folding time of about 15 months. In work using a more realistic model, *Wigley et al.* [2005] obtain even larger values for  $\tau_V$ , and the reality of a long volcano response time is supported by numerous other studies [e.g. Free and Angell, 2000; Santer et al., 2001; Gillett et al., 2004].  $\tau_V$  is clearly different from  $\tau$ .

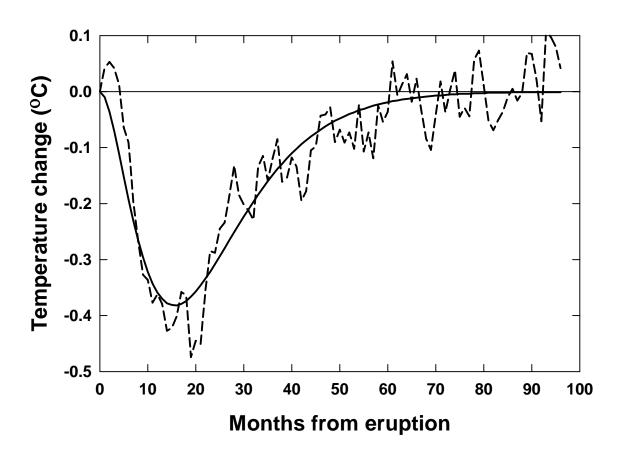
[8] DK suggest that other analyses are flawed because they have <u>assumed</u> values of  $\tau_V$  that are too large. This, too, is incorrect. For example, in *Wigley et al.* [2005],  $\tau_V$  values are obtained by statistical fits to either observed or model-generated data. No *a priori* assumptions are made regarding the magnitude of  $\tau_V$ . Of course, no such assumptions are made in other modeling studies either, such as those of *Ammann et al.* [2003] and *Soden et al.* [2002]. The volcano response time is generated internally by model physics.

[9] In conclusion, neither the physics nor the results in the DK paper are correct. Their unconventional result that the climate sensitivity is very low is simply an artifact of their use of an over-simplified model to fit the observed cooling from Pinatubo.

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Fig. 1: Simulation of the response to the Mt. Pinatubo eruption from PCM (average of 16 realizations, dashed line) compared with an empirical fit using the DK method. Although the fit is good, the implied sensitivity and response time values are unrealistic.



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